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March 2, 2015

#### BY ECFS

Marlene H. Dortch Secretary Federal Communications Commission 445 Twelfth Street, S.W., TW-A325 Washington, D.C. 20554

Re:

EB Docket No. 06-36

Annual Certification of TDS Telecommunications Corporation

Dear Ms. Dortch:

TDS Telecommunications Corporation, by its attorneys and on behalf of the wholly-owned subsidiaries identified in this submission (collectively, "TDS Telecom"), hereby files its annual CPNI certification pursuant to the requirements of Section 64.2009(e) of the Commission's rules.

This submission has been redacted for public inspection, as permitted by the Report and Order released by the Commission in the above-referenced docket on April 2, 2007. See Telecommunications Carriers' Use of Customer Proprietary Network Information and Other Customer Information, Report and Order and Further Notice of Proposed Rulemaking, 22 FCC Rcd 6927, 6954 n.167 (2007). A confidential, unredacted version of this submission will be filed today by hand.

Any questions concerning this submission should be addressed to the undersigned.

Respectfully submitted,

Yaron Dori

Counsel for TDS Telecom

Enclosure

## Annual 47 C.F.R. § 64.2009(e) CPNI Certification for 2014 Calendar Year EB Docket No. 06-36

Companies covered by this certification: Wholly owned telecommunications subsidiaries of TDS Telecommunications Corporation as of December 31, 2014.

Form 499 Filer IDs: Please see the attached list

Signatory Name: James W. Butman

Signatory Title: Group President of Marketing, Sales and Customer Operations, TDS Telecommunications Corporation

I, James W. Butman, certify that I am an officer of TDS Telecommunications Corporation, and acting as an agent of the company, that I have personal knowledge that the wholly owned telecommunication subsidiaries (Companies) have established operating procedures that are adequate to ensure compliance with the Commission's CPNI rules. See 47 C.F.R. § 64.2001 et seq.

Attached to this certification is an accompanying statement explaining how the Companies' procedures ensure compliance with the requirements set forth in section 64.2001 et seq. of the Commission's rules.

The company has not taken actions (i.e., proceedings instituted or petitions filed by a company at either state commissions, the court system, or at the Commission) against data brokers in the past year.

The company has not received customer complaints in the past year concerning the unauthorized release of CPNI.

Signed:

Date Signed:

<sup>&</sup>lt;sup>1</sup> TDS Telecommunications Corporation divested four of its wholly owned telecommunications subsidiaries during 2014. See FCC Public Notice, "Notice of Domestic Section 214 Authorizations Granted," DA 14-994 (rel. July 14, 2014). Accordingly, this certification covers the divested companies (New London Telephone Company, Orchard Farm Telephone Company, Stoutland Telephone Company, and Home Telephone Company) only for the portion of the 2014 during which TDS Telecommunications Corporation owned them.

## TDS Telecom Statement Explaining CPNI Procedures

This statement accompanies the annual certification of TDS Telecommunications Corporation ("TDS Telecom" or the "Company") as required 47 C.F.R. § 64.2009(e). It describes TDS Telecom's operating practices, procedures, systems, processes, and controls designed to ensure compliance with the Commission's CPNI rules.

# A. Approval Required for Use of CPNI

Consistent with the Commission's rules, TDS Telecom uses CPNI to market service offerings to customers that are within the category of service to which the customer already subscribes ("Total Service Approach") or to market services formerly known as adjunct-to-basic services, or with prior customer approval. As explained more fully herein, TDS Telecom informs customers of their right to opt-out of the company's use of their CPNI under specified circumstances predominately through a written notice, but in limited circumstances also through a one-time oral permission mechanism.

TDS Telecom's systems are designed to provide customers with notice of their opt-out rights through a written notice that is incorporated into a Welcome Letter that is transmitted to every new customer when service to that new customer is initiated. TDS Telecom's processes are designed to provide subsequent written notice of such opt-out rights through a bill insert sent to existing customers every 22 months.

Welcome Letters are cued for delivery by TDS Telecom service advisors to customers in one of two ways — through a manual process or through an automated process. In an effort to ensure that Welcome Letters are generated for all customers, TDS Telecom's internal compliance practice is for a TDS Telecom employee to each business day review a report that identifies any new customers who were not sent a Welcome Letter and to take action to ensure that a Welcome Letter is queued for delivery to any new customer identified in this report, as appropriate.

The written opt-out notice provided in the Welcome Letter and in bill inserts is designed to include information regarding a toll-free IVR system available to customers 24 hours-a-day, seven days-a-week to record their opt-out election. The majority of subscriber opt-outs are obtained through this IVR system; however, some customers contact customer service advisors to effectuate their opt-out election. In both cases, the customer records database is designed to retain the opt-out requests unless the customer subsequently elects to change his or her opt-out status. There are controls in place that are designed to ensure that opt-out requests recorded in the customer records database are honored.

#### B. Safeguards for CPNI Use

TDS Telecom's systems are designed to, where required, enable company personnel to determine a customer's opt out status prior to the use of his or her CPNI. For in-bound calls from customers, the system is designed to provide TDS Telecom's customer sales advisors with the incoming caller's opt-out status or the ability to retrieve that information from the customer records database. When marketing lists for outbound campaigns are created, TDS Telecom's processes are designed so as to enable company personnel to consult the customer records database so that only those customers who have not opted-out are included in marketing lists.

TDS Telecom educates and trains its employees regarding the appropriate use and disclosure of CPNI. Company policies, including CPNI policies, are communicated in writing to all employees when they join TDS Telecom. After reviewing these policies, employees must acknowledge that they have read and understand them. In addition, employees are required to complete CPNI training soon after they are hired. CPNI training is reinforced from time to time; in 2014 a privacy refresher course that included CPNI components was completed by employees. In addition, employees who function in a customer advisory role such as customer service advisors are provided further guidance on the proper handling of CPNI via procedures. Adherence to procedure is tested periodically through call monitoring, and where issues are identified they are escalated and resolved, as appropriate.

Under TDS Telecom's practices, sales personnel must obtain approval from a supervisor trained in the CPNI marketing rules before any sales or marketing campaigns may use CPNI outside of the Total Service Approach. If the sales personnel receive approval, they may obtain a marketing list, which under TDS Telecom's practices may identify only those customers who have not opted out of having their CPNI used outside of the Total Service Approach for marketing purposes. If a marketing list is created for a campaign that relies on the use of CPNI outside of the Total Service Approach, it is TDS Telecom's policy that the list be retained for a minimum of one year.

## C. Safeguards Against CPNI Disclosure

TDS Telecom takes reasonable measures to discover and protect against attempts by unauthorized third parties to gain access to customer CPNI by securing its network, using password systems, using notification systems, and monitoring for unauthorized access.



It TDS Telecom's practice to authenticate in-bound callers using either a password or account-based method. If a caller wishes to discuss sensitive information including call detail records, procedures require password authentication. Otherwise, in accordance with procedures, sales advisors may use account-based authentication to discuss less sensitive information.

Adherence to these procedures is tested by through call monitoring, and where issues are identified they are escalated and resolved, as appropriate.

The password systems used for in-bound calls are a Personal Identification Number ("PIN") -based system and a secret question and answer system. When customers call TDS Telecom customer service lines, automated call routing applications ask customers to authenticate themselves with a PIN. If a customer successfully completes that authentication, the customer's account information is routed along with the call to the advisor so that the advisor is aware that the customer was authenticated. If the routing information does not reflect authentication, TDS Telecom procedure calls for the advisor to authenticate the customer by verbally requesting the PIN or secret answer. To validate either the PIN or secret answer, advisors enter that information into the system, which either confirms that the correct information was entered or relays that it was not. The system specifies whether a password is assigned to the account but does not display the actual PIN or secret answer.

It is TDS Telecom's practice to notify customers whenever a password, online account, or address of record is created or changed on an existing account. Notifications are triggered systematically at the database level when the change is made. The notifications are completed in a timely fashion using one of three delivery methods (1) an e-mail to the e-mail address of record, (2) an automated message to the telephone number of record, or (3) postal mail to the billing address of record. As required by 47 C.F.R. § 64.2010(f), notifications do not reveal the changed information. To test the notification system, a sample of customer change requests is periodically traced through the systems to validate that the appropriate notifications were

#### D. Notification of CPNI Breaches

completed.

TDS Telecom maintains processes and a system through which any employee can report a possible privacy event, including but not limited to unauthorized access to or use of CPNI. If an employee reports that a CPNI breach may have occurred, or if a potential breach is detected through the monitoring processes discussed above, TDS Telecom employees are instructed to record information about the event in a database so that the event can be investigated. Compliance experts then review the reported issue to assess whether a breach may have occurred. If a breach were to occur, TDS Telecom's practice requires that breach to be reported to law enforcement and to the customer in accordance with the Commission's rules.

It is TDS Telecom's practice to maintain its records of any breaches reported for at least two years.

## E. Other

On November 15, 2012, the Commission's Enforcement Bureau adopted a Consent Decree entered into by and between TDS Telecom and the Bureau in connection with TDS Telecom's CPNI compliance practices. *See* DA 12-1832. The terms of that Consent Decree contain additional procedures that TDS Telecom has put in place to ensure compliance with the Commission's CPNI rules.

| State | TDS Entity                             | 499 Filer<br>ID | State | TDS Entity                           | 499 Filer<br>ID |
|-------|--|-----------------|-------|--------------------------------------|-----------------|
| AL    | Butler Telephone Company               | 806589          | ME    | West Penobscot Telephone<br>Company  | 806784          |
| AL    | Oakman Telephone Company               | 806580          | MI    | Chatham Telephone Company            | 806523          |
| AL    | Peoples Telephone Company              | 806631          | MI    | Communications Corp. of<br>Michigan  | 806526          |
| AR    | Cleveland County Telephone Co.         | 805440          | MI    | Island Telephone Company             | 806529          |
| AR    | Decatur Telephone Company              | 805443          | MI    | Shiawassee Telephone Company         | 806532          |
| AZ    | Arizona Telephone Company              | 805434          | MI    | Wolverine Telephone Company          | 806535          |
| AZ    | Southwestern Telephone Company         | 805377          | MN    | Arvig Telephone Company              | 806766          |
| CA    | Happy Valley Telephone Company         | 805449          | MN    | Bridge Water Telephone<br>Company    | 804465          |
| CA    | Hornitos Telephone Company             | 805455          | MN    | KMP Telephone Company                | 805585          |
| CA    | Winterhaven Telephone Company          | 805485          | MN    | Mid-State Telephone Company          | 805585          |
| CO    | Delta County Tele-Comm, Inc.           | 805446          | MN    | Winsted Telephone Company            | 806409          |
| СО    | Strasburg Telephone Company            | 805479          | МО    | New London Telephone<br>Company      | 805464          |
| FL    | Quincy Telephone Company - FL          | 806583          | МО    | Orchard Farm Telephone<br>Company    | 805470          |
| GA    | Blue Ridge Telephone Company           | 806634          | МО    | Stoutland Telephone Company          | 805476          |
| GA    | Camden Telephone & Telegraph Co.  – GA | 806823          | MS    | Calhoun City Telephone<br>Company    | 806637          |
| GA    | Nelson-Ball Ground Telephone Co.       | 802134          | MS    | Myrtle Telephone Company             | 805866          |
| GA    | Quincy Telephone Company - GA          | 806583          | MS    | Southeast Mississippi Tel            | 806577          |
| ID    | Potlatch Telephone Company             | 805473          | NC    | Barnardsville Telephone<br>Company   | 806562          |
| IN    | Camden Telephone Company - IN          | 802068          | NC    | Saluda Mountain Telephone Co.        | 806616          |
| IN    | Communications Corp. of Indiana        | 806559          | NC    | Service Telephone Company            | 806601          |
| IN    | Comm. Corp. of Southern Indiana        | 806556          | NH    | Contoocook Valley Telephone<br>Co.   | 808275          |
| IN    | Home Telco of Pittsboro                | 806550          | NH    | Hollis Telephone Company             | 809570          |
| IN    | Home Telephone Co Waldron              | 806553          | NH    | Kearsarge Telephone Company          | 806796          |
| IN    | Merchants & Farmers Telephone Co.      | 809001          | NH    | Merrimack County Telephone<br>Co.    | 808275          |
| IN    | S & W Telephone Company, Inc.          | 801558          | NH    | Wilton Telephone Company             | 809034          |
| IN    | Tipton Telephone Company               | 804819          | NH    | Union Telephone Company              | 801576          |
| IN    | Tri-County Telephone Company           | 801264          | NY    | Deposit Telephone Company            | 801840          |
| IN    | West Point Telephone Company, Inc.     | 809790          | NY    | Edwards Telephone Company,<br>Inc.   | 806781          |
| KY    | Leslie County Telephone Company        | 806619          | NY    | Oriskany Falls Telephone<br>Company  | 806814          |
| KY    | Lewisport Telephone Company            | 806625          | NY    | Port Byron Telephone Company         | 806817          |
| KY    | Salem Telephone Company                | 806622          | NY    | Township Telephone Company, Inc.     | 808425          |
| ME    | Cobbosseecontee Telephone Co.          | 801201          | NY    | Vernon Telephone Company             | 809218          |
| ME    | Hampden Telephone Company              | 803232          | ОН    | Arcadia Telephone Company            | 806544          |
| ME    | Hartland & St. Albans Telephone        | 806802          | ОН    | Continental Telephone Company        | 806541          |
| ME    | Somerset Telephone Company             | 806799          | ОН    | Little Miami Communications<br>Corp. | 806547          |
| ME    | The Island Telephone Company           | 806820          | ОН    | Oakwood Telephone Company            | 806538          |
| ME    | Warren Telephone Company               | 806787          | OH    | Vanlue Telephone Company             | 808419          |

| State | TDS Entity                       | 499 Filer<br>ID | State | TDS Entity                          | 499 Filer<br>ID |
|-------|----------------------------------|-----------------|-------|-------------------------------------|-----------------|
| OK    | Mid-America Telephone Company    | 805461          | WI    | Badger Telecom, Inc.                | 805563          |
| OK    | Oklahoma Communication Systems   | 805467          | WI    | Black Earth Telephone<br>Company    | 805560          |
| OK    | Wyandotte Telephone Company      | 805488          | WI    | Bonduel Telephone Company           | 805566          |
| OR    | Asotin Telephone Company – OR    | 805437          | WI    | Central State Telephone<br>Company  | 805572          |
| OR    | Home Telephone Company - OR      | 805452          | WI    | Dickeyville Telephone Company       | 807810          |
| PA    | Mahoney & Mahantango Tel. Co.    | 806808          | WI    | Eastcoast Telecom, Inc.             | 805575          |
| PA    | Sugar Valley Telephone Company   | 806775          | WI    | Farmers Telephone Company           | 807813          |
| SC    | McClellanville Telephone Company | 806586          | WI    | Grantland Telephone Company         | 805578          |
| SC    | Norway Telephone Company         | 806574          | WI    | Mid-Plains Telephone Company        | 806862          |
| SC    | St. Stephen Telephone Company    | 806592          | WI    | Midway Telephone Company            | 805581          |
| SC    | Williston Telephone Company      | 806598          | WI    | Mosinee Telephone Company           | 808410          |
| TN    | Concord Telephone Exchange Inc.  | 806604          | WI    | Mt. Vernon Telephone Company        | 805584          |
| TN    | Humphreys County Telephone Co.   | 806565          | WI    | Riverside Telecom, Inc.             | 805587          |
| TN    | Tellico Telephone Company        | 806613          | WI    | Scandinavia Telephone<br>Company    | 805590          |
| TN    | Tennessee Telephone Company      | 806610          | WI    | Southeast Telephone Company         | 808374          |
| VA    | Amelia Telephone Company         | 806607          | WI    | State Long Distance Telephone Co.   | 801561          |
| VA    | New Castle Telephone Company     | 806628          | WI    | Stockbridge & Sherwood<br>Telephone | 805593          |
| VA    | Virginia Telephone Company       | 806568          | WI    | Tenney Telephone Company            | 805596          |
| VT    | Ludlow Telephone Company         | 806805          | WI    | Utelco, Inc.                        | 801945          |
| VT    | Northfield Telephone Company     | 806793          | WI    | Waunakee Telephone Company          | 805602          |
| VT    | Perkinsville Telephone Company   | 806790          |       |                                     |                 |
| WA    | Asotin Telephone Company - WA    | 805437          | CLEC  | TDS Metrocom, LLC                   | 817212          |
| WA    | Lewis River Telephone Company    | 807207          | CLEC  | US Link, Inc.                       | 809008          |
| ŴΑ    | McDaniel Telephone Company       | 804768          |       |                                     |                 |
| WI    | B. B. & W. Telephone Company     | 805569          |       | TDS Long Distance Corporation       | 820658          |